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PATENT
Docket No.: 020425-105900US
Client Ref. No.: SCHB-500

TOWNSEND and TOWNSEND and CREW LLP

By: 

Andrea S. Beck

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James D. Peterson

Application No.: 10/698,140

Filed: October 31, 2003

For: System and Method for Providing
Financial Advice for an Investment Portfolio

Customer No.: 20350

Confirmation No.: 2938

Examiner: Eric Tak Wai Wong

Art Unit: 3693

ELECTION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Election is responsive to the Office Action mailed on September 24, 2009, which restricts the claims as follows: Group I with claims 1, 3, 28-34, 36 and 37, drawn to a method for providing financial advice including placing assets into separate accounts and Group II with claims 14, 17-25 and 35 drawn to a method for providing financial advice including applying a specific subset of rules.

Applicants elect the claims of Group I for examination on the merits, with traverse.

Applicants submit that the claims of Group I and II have already been searched and examined, and that the search and examination of both Groups of claims is not unduly burdensome.

Respectfully submitted,



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